

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. DOX 1459 Alexandra, Vigenia 22313-1450

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/573,169

Johannes Schöpf

2003P04386WOUS INTERNATIONAL APPLICATION NO.

PCT/EP04/09206 PRIORITY DATE LA. FILING DATE

22116 INTELLECTUAL PROPERTY DEPARTMENT SIEMENS CORPORATION 170 WOOD AVENUE SOUTH **ISELIN, NJ 08830**

INTELLECTUAL 0 DUE DAT

CONFIRMATION NO. 6644

09/24/2003

371 FORMALITIES LETTER

OC000000021379106

08/17/2004

Date Mailed: 11/28/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/23/2006
- English Translation of the IA filed on 03/23/2006
- Copy of the International Search Report filed on 03/23/2006
- Copy of IPE Report filed on 03/23/2006
- Copy of Annexes to the IPER filed on 03/23/2006
- English Translation of Annexes to the IPE filed on 03/23/2006
- Preliminary Amendments filed on 03/23/2006
- Information Disclosure Statements filed on 03/23/2006
- Oath or Declaration filed on 03/23/2006
- U.S. Basic National Fees filed on 03/23/2006
- Substitute Specification filed on 03/23/2006
- Priority Documents filed on 03/23/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

407-736-6440

missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO. 10/573,169	international application no. PCT/EP04/09206	ATTY. DOCKBT NO. 2003P04386WOUS
10/0/03/		

FORM PCT/DO/EO/905 (371 Formalities Notice)

MAR 26 2007

SIEMENS

FACSIMILE COVER SHEET

In the UNITED STATES PATENT AND TRADEMARK

First Named Inventor: Johannes Schöpf

Application No. 10/573,169

Filed: March 23, 2006

Title: LOAD CONTROL METHOD IN A PACKET DATA NETWORK

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Atty Docket No. 2008 PD43 85 VOUS

► FACSIMILE ATTN TO: KAREN M. WILLIAMS

FAX NO.: 703-305-3230

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on March 26, 2007.

Ann Hickey

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

- ▶This facsimile cover sheet which includes a Certificate of Transmission under 37 CFR 1.8 (1 pg)
- ► Response to Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office bearing "date mailed" of 11/28/2006 (2 pgs, original + 1 copy);
- ▶Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office to be returned with response (2 pgs);
- ▶ Declaration for Patent Application, Power of Attorney & Designation of Correspondence Address (3 pgs.)

▶PTO/SB/22 Petition for Extension of Time (2 pgs. – original + 1 copy)

Number of pages being transmitted (including this cover sheet): 10 pgs

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Siemens Corporation

Legal and Intellectual Property Department 4400 Alafaya Trail Orlando Florida 32826 Tel: 407-736-2472 FAX: 407-736-6440

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Johannes Schöpf

Serial No.: 10/573,169 Filed: March 23, 2006

Docket No.: 2003P04386WOUS Examiner: Not Yet Assigned Group Art Unit: Not Yet Assigned

Title: LOAD CONTROL METHOD IN A PACKET DATA NETWORK

26 MARCH 2007

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop PCT Missing Parts **Commissioner for Patents** P.O.Box 1450 Alexandria, VA 22313-1450

This is a response to the Notification of Missing Requirements under 35 U.S.C. 371 in the Sir: United States Designed/Elected Office having a mailing date of 11/28/2006 and for which a period for response of two (2) months from the mailing date of said Notice was set to expire 01/28/2006.

Enclosed is a Declaration by the present Inventors, a copy of the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office and a Petition for Extension of Time.

Please charge Deposit Account No. 19-2179 for the surcharge of \$130.00 in accordance with 37 CFR 1.492(h). Issuance of a formal Filing Receipt is respectfully solicited.

Respectfully submitted,

John P. Musone Registration No. 44,961 (407) 736-6449

Siemens Corporation Intellectual Property Department 170 Wood Avenue South Iselin, NJ 08830

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to 703-305-3230 at the Patent and Trademark Office on March 26, 2007.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Johannes Schöpf

Serial No.: 10/573.169 Filed: March 23, 2006

Docket No.: 2003P04386WOUS Examiner: Not Yet Assigned Group Art Unit: Not Yet Assigned

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IDNR: 7034 / V: 03.05.20 / B: Val